SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATE**

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> McGuyer Investments Ltd.	2. Date of Eve Requiring Sta (Month/Day/Y 12/17/2014	tement 'ear)	3. Issuer Name <b>and</b> Ticker or Trading Symbol BELLICUM PHARMACEUTICALS, INC [ BLCM ]					
(Last) (First) (Middle) 11007 WICKWOOD DRIVE (Street) HOUSTON TX 77024	_		4. Relationship of Reporting Perso (Check all applicable) Director X Officer (give title below)		Sify 6. In App	hth/Day/Year) dividual or Joint licable Line) Form filed by Form filed by	te of Original Filed Group Filing (Check / One Reporting Person / More than One	
(City) (State) (Zip)	-					Reporting Pe	erson	
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			. Amount of Securities Seneficially Owned (Instr. 4)	3. Ownershi Form: Direc or Indirect (I (Instr. 5)	Direct (D) (Instr. 5) irect (I)		Beneficial Ownership	
Common Stock			147,058	D				
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exer Expiration D (Month/Day/	ate	3. Title and Amount of Securit Underlying Derivative Securit		4. Conversion or Exercise	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Warrant to Purchase Series C Preferred Stock (right to buy)	08/22/2014	08/22/2019 <sup>(1)</sup>	Series C Preferred Stock	333,536	6	D		
Series A Convertible Preferred Stock	(2)	(3)	Common Stock	298,434	(3)	D		
Series B Convertible Preferred Stock	(2)	(3)	Common Stock	1,227,132	(3)	D		
Series C Convertible Preferred Stock	(2)	(3)	Common Stock	301,842	(3)	D		

**Explanation of Responses:** 

1. Upon the date immediately following the date of the effectiveness of the initial public offering of the Issuer, on or prior to March 31, 2015, the Warrant will be terminated if not previously exercised. 2. The Preferred Stock is convertible at any time.

3. Each of the Series A Convertible Preferred Stock, Series B Convertible Preferred Stock and Series C Convertible Preferred Stock (collectively, "Preferred Stock") is convertible into the Issuer's common stock at a conversion rate of 1 share of common stock for each 1.7 shares of Preferred Stock and has no expiration date.

**Remarks:** 

By: /s/ Frank McGuyer, Managing General Partner \*\* Signature of Reporting Person

12/17/2014

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

MENT OF BENEFICIAL OWNERSHIP OF	
SECURITIES	

POWER OF ATTORNEY

Know all, by these presents, that the undersigned hereby constitutes and appoints each of Ken Moseley and Alan Musso, signing individually, the undersigned's true and lawful attorneys-in fact and agents to: (1) execute for and on behalf of the undersigned, an officer, director or holder of 10% of more of a registered class of securities of Bellicum Pharmaceuticals, Inc. (the "Company"), Forms 3, 4 and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934, as amended (the "Exchange Act") and the rules thereunder;

(2) do and perform any and all acts for and on behalf of the undersigned that may be necessary or desirable to complete and execute such Form 3, 4 or 5, complete and execute any amendment or amendments thereto, and timely file such forms or amendments with the United States Securities and Exchange Commission and any stock exchange or similar authority; and

(3) take any other action of any nature whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Exchange Act.

This Power of Attorney shall remain in full force and effect until the earliest to occur of (a) the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, (b) revocation by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact or (c) as to any attorney-in-fact individually, until such attorney-in-fact shall no longer be employed by the Company.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 24th day of November, 2014.

McGuyer Investments Ltd. By: /s/ Frank B. McGuyer Frank B. McGuyer, Managing General Partner